

# LAW 3007 BANKING AND SECURITIES LAW

**Credit Points** 10

**Legacy Code** 200697

**Coordinator** John Juriansz ([https://directory.westernsydney.edu.au/search/name/John Juriansz/](https://directory.westernsydney.edu.au/search/name/John%20Juriansz/))

**Description** This unit examines the banker customer relationship, the taking and enforcement of security for credit, and the regulation of banks and non bank financial institutions nationally and internationally. Examined are 1. secured financing, 2. the regulation of bank solvency: central banks and the Bank for International Settlements, 3. Infrastructure of financial markets, 4. International financial instruments.

**School Law**

**Discipline** Business and Commercial Law

**Student Contribution Band** HECS Band 4 10cp

Check your HECS Band contribution amount via the Fees ([https://www.westernsydney.edu.au/currentstudents/current\\_students/fees/](https://www.westernsydney.edu.au/currentstudents/current_students/fees/)) page.

**Level** Undergraduate Level 3 subject

**Pre-requisite(s)** LAWS 3045

## Learning Outcomes

On successful completion of this subject, students should be able to:

1. Demonstrate comprehensive knowledge of the field and explain, identify and critically evaluate the key concepts, policies and issues on defined topics.
2. Apply an understanding of the relevant legal concepts and aspects of policy analysis to analysing and solving practical problems and theoretical legal issues in a clear, logical and effective way.

## Subject Content

- 1.The Australian financial system and its relationship to the international financial system.
- 2.The implications of the banker-customer relationship.
- 3.Secured financing (law relating to the granting, acceptance, and guarantee of securities, letters of credit and the like)
- 4.International funds transfers
- 5.Impact of the Personal Properties Securities Act
- 6.Bank capital adequacy requirements
- 7.Infrastructure and clearance systems.
8. Financial instruments
9. Securitisation
- 10.Derivatives (Options and Futures, SWAPS)

## Assessment

The following table summarises the standard assessment tasks for this subject. Please note this is a guide only. Assessment tasks are regularly updated, where there is a difference your Learning Guide takes precedence.

Item	Length	Percent	Threshold	Individual/ Group Task
Intra-session Exam	2,500 words	45	N	Individual

Final Exam	2,500 words	45	N	Individual
Participation	Ongoing	10	N	Individual

Prescribed Texts

- Hal S Scott and Anna Gelpert, International Finance, Transactions, Policy and Regulation (Foundation Press, 20th ed, 2014)

Teaching Periods