

# LAWS 2012 REGULATORY RISK AND COMPLIANCE

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**Credit Points** 10

**Legacy Code** 200928

**Coordinator** Margaret Hyland ([https://directory.westernsydney.edu.au/search/name/Margaret Hyland/](https://directory.westernsydney.edu.au/search/name/Margaret%20Hyland/))

**Description** This unit aims to provide business students with the foundations for identifying, establishing and developing an organisation's regulatory risk and compliance framework. To achieve this, the unit will analyse current theory, international best practices, as well as key commercial law obligations, which Australian businesses are expected to comply with.

**School** Law

**Discipline** Law

**Student Contribution Band** HECS Band 4 10cp

Check your HECS Band contribution amount via the Fees ([https://www.westernsydney.edu.au/currentstudents/current\\_students/fees/](https://www.westernsydney.edu.au/currentstudents/current_students/fees/)) page.

**Level** Undergraduate Level 2 subject

**Pre-requisite(s)** LAWS 1004 OR LAWS 1001

## Learning Outcomes

On successful completion of this subject, students should be able to:

1. Demonstrate the relationship between legal risk, risk management, an effective compliance framework and good governance.
2. Demonstrate an understanding of the various legal compliance and risk management standards.
3. Apply an understanding of the key elements of establishing an effective compliance programme and risk management system for Australian businesses.

## Subject Content

1. The relationship and links between good corporate governance and legal compliance, as well as risk management.
2. Integrating risk management within an organisation's legal compliance framework.
3. The foundation for developing an effective legal compliance framework.
4. An overview of the key legal compliance obligations in financial services law, company law, competition and consumer law, privacy law, and tax law.

**Prescribed Texts**

- TBA (under development by School of Law)

**Teaching Periods**