

# BUSM 7031 FINANCIAL INSTITUTION MANAGEMENT

**Credit Points** 10

**Legacy Code** 51165

**Coordinator** Linda Wu ([https://directory.westernsydney.edu.au/search/name/Linda Wu/](https://directory.westernsydney.edu.au/search/name/Linda%20Wu/))

**Description** This unit provides participants with an overview of the types of financial institutions and the regulatory context within which they operate. Financial risk management and corporate responsibility serve as an underpinning framework to consider the complexity associated with managing contemporary financial institutions in an Australian and International context. A focus of the unit is to provide participants with an opportunity to use techniques to measure and manage financial risk.

**School** Business

**Discipline** Business And Management

**Student Contribution Band** HECS Band 4 10cp

**Level** Postgraduate Coursework Level 7 subject

## Restrictions

Students must be enrolled in a postgraduate Business program.

## Assumed Knowledge

51163 - Financial Institutions and Markets and/or 200426 - Corporate Finance.

## Learning Outcomes

On successful completion of this subject, students should be able to:

1. Identify the main types of financial risk confronted by financial institutions;
2. Apply relevant techniques to measure and manage financial risks;
3. Identify the main regulations and operations of financial institutions within such regulations;
4. Critically evaluate contemporary issues, including financial risks, affecting financial institutions and their owners, managers, employees and customers in an Australian and international context.

## Subject Content

The Financial Services Industry: depository institutions  
 The Financial Services Industry: non-depository financial institutions  
 Why are financial intermediaries special?  
 Risks of financial intermediation  
 Interest rate risk  
 Credit risk  
 Portfolio and concentration risk  
 Sovereign risk  
 Market risk  
 Foreign exchange risk  
 Liquidity risk  
 Securitisation

## Assessment

The following table summarises the standard assessment tasks for this subject. Please note this is a guide only. Assessment tasks are

regularly updated, where there is a difference your Learning Guide takes precedence.

Item	Length	Percent	Threshold	Individual/Group Task
Intra-session Exam	2 hours	30	N	Individual
Case Study	3,000 words	20	N	Group
Final Exam	2 hours	50	N	Individual

## Prescribed Texts

- Lange, H., Saunders, A., & Cornett, M. 2013. Financial Institutions Management, 3rd edition, McGraw-Hill Australia.

## Teaching Periods

### Quarter 3

#### Parramatta City - Macquarie St

#### Evening

**Subject Contact** Linda Wu ([https://directory.westernsydney.edu.au/search/name/Linda Wu/](https://directory.westernsydney.edu.au/search/name/Linda%20Wu/))

View timetable ([https://classregistration.westernsydney.edu.au/even/timetable/?subject\\_code=BUSM7031\\_22-Q3\\_PC\\_E#subjects](https://classregistration.westernsydney.edu.au/even/timetable/?subject_code=BUSM7031_22-Q3_PC_E#subjects))