

# GRADUATE CERTIFICATE IN FINANCIAL ADVISING (2825)

**Approved Abbreviation:** GradCertFinAdv  
**Western Sydney University Program Code:** 2825  
**AQF Level:** 8

This program applies to students who commenced in 2020 or later.

The Graduate Certificate in Financial Advising is a fully online program designed specifically for existing financial advisers to expand their skills and to meet new bridging subject education requirements as stipulated in the Corporations Act 2001 (as amended) and outlined in the Education Pathways Policy (FPS001) issued by the Financial Adviser Standards and Ethics Authority (FASEA). Such requirements include the completion of between 1 – 3 individual bridging subjects and a capstone subject, depending on the adviser's educational qualifications and professional certification/s.

This Graduate Certificate serves to provide advisers with a qualification within which to complete all three FASEA approved bridging subjects (in addition to a FASEA approved Financial Advice Capstone subject for advisers who follow the 'relevant degree' pathway outlined in FPS001). The aim is to ensure students comply with education requirements to practise as a financial adviser and are familiar with the FASEA Code of Ethics, behavioural finance and regulatory and legal obligations of financial advisers in order to provide high quality professional services. The benefit to students is two-fold: compliance with the Corporations Act and obtaining a postgraduate qualification.

Further information about the FASEA education requirements can be found at

FASEA Policy Statement Education Pathways (<https://www.fasea.gov.au/wp-content/uploads/2019/05/FPS001-FASEA-Policy-Statement-Education-Pathways-revised-April-2019.pdf>)

## Study Mode

Six months full-time and one year part-time

## Program Advice

Dr Peter Humphreys (<https://directory.westernsydney.edu.au/search/profile/12178/>)

Prospective students should visit the following websites for general enquiries about this program.

Enquire about this program (<https://enquiry.westernsydney.edu.au/courseenquiry/>) | Local Admission (<https://www.westernsydney.edu.au/future/>) | International Admission (<https://www.westernsydney.edu.au/international/home/apply/admissions/>) |

## Location

Campus	Attendance	Mode	Advice
Online	Full Time	Online	See above
Online	Part Time	Online	See above

## Accreditation

On completion of this program, graduates will meet the education requirements of the Financial Adviser Standards and Ethics Authority (FASEA) for all three bridging program subjects as outlined in FPS001 Education Pathways Policy.

## Admission

Applicants must have:

- Successfully completed an undergraduate degree, or higher, in any discipline
- Or
- Have an associate degree, or higher, in a business discipline
- Or
- Have an advanced diploma, or higher, in a business discipline and have a minimum of five years general work experience in a related field
- Or
- Have a minimum of five years full-time equivalent managerial/professional work experience in a related field
- Or
- Submitted other evidence of general and professional qualifications that demonstrates potential to pursue graduate studies.

Applicants seeking admission on the basis of work experience must support their application with a current CV for all work experience listed on their application and a Confirmation of Employment for all work experience listed on the application. Only applicants seeking admission through an established industry partnership agreement can provide an agreed alternative to the Confirmation of Employment. The confirmation of employment can be either be a letter on employer letterhead specifying their role and length of service or the industry declaration form.

Applications from Australian and New Zealand citizens and holders of permanent resident visas must be made via the Universities Admissions Centre (UAC). Use the links below to apply via UAC or Western Sydney University. Applications made directly to Western Sydney do not have an application fee.

Applicants who have undertaken studies overseas may have to provide proof of proficiency in English. Local and International applicants who are applying through the Universities Admissions Centre (UAC) will find details of minimum English proficiency requirements and acceptable proof on the UAC website. Local applicants applying directly to the University should also use the information provided on the UAC website.

<http://www.uac.edu.au/>  
<https://westernsydney.uac.edu.au/ws/>

Overseas qualifications must be deemed by the Australian Education International - National Office of Overseas Skills Recognition (AEI-NOOSR) to be equivalent to Australian qualifications in order to be considered by UAC and Western Sydney University.

## Program Structure

Qualification for this award requires the successful completion of 40 credit points including the subjects listed below.

Subject	Title	Credit Points
ACCT 7026	Behavioural Finance (PG)	10
BUSM 7106	Ethics and Professionalism in Financial Services	10
LAWS 7040	Financial Advice (Regulatory and Legal Obligations)	10
FINC 7019	Statement of Advice Research Project	10
<b>Total Credit Points</b>		<b>40</b>